



Section
PATENT

Attorney Docket No. NIH-05065

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lawrence E. Samelson *et al.*
Serial No.: 09/597,920
Filed: 06/19/00
Entitled: **THE PROTEIN TYROSINE KINASE SUBSTRATE LAT AND ITS USE IN THE IDENTIFICATION OF (ANT)AGONISTS OF THE KINASE**

Group No.: not yet assigned
Examiner: not yet assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS

Application Processing Division,
Special Processing and Correspondence Branch
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on **June 29, 2001**.

By:

Anne M. Neiswander

Sir or Madam:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted (Form PTO-1533) mailed 4/30/01 (a copy of which is enclosed), applicants submit the following documents:

- ▶ A copy of the executed declaration or oath as previously submitted
- ▶ A copy of the executed Assignment document as previously submitted for recording whereby the subject application and the invention disclosed therein is assigned to The United States of America, as represented by the Secretary, Department of Health and Human Services, Office of Technology Transfer, National Institutes of Health, 6011 Executive Blvd., Suite 325, Rockville, Maryland 20852-3804 and a copy of the Recordation Form Cover Sheet -- Patents Only (Form PTO-1595).

- ▶ A copy of the Power of Attorney by Assignee as previously submitted

A check in the amount of **\$130.00** is enclosed herewith to cover:

\$130.00 surcharge for filing missing parts of an application

According to our records, check no. **035020** in the amount of \$40.00 as previously submitted to the USPTO on 4/6/2001 to cover the recordation of assignment fees has cleared.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. **08-1290**. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: June 29, 2001

Kamrin T. MacKnight
Registration No. 38,230

MEDLEN & CARROLL, LLP
220 Montgomery Street, Suite 2200
San Francisco, California 94104
415/705-8410



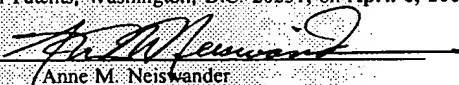
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lawrence E. Samelson *et al.*
Serial No.: 09/597,920
Filed: 06/19/00
Entitled: **THE PROTEIN TYROSINE KINASE SUBSTRATE LAT AND ITS USE IN THE
IDENTIFICATION OF (ANT)AGONISTS OF THE KINASE**

TRANSMITTAL

Box Assignment

Assistant Commissioner for Patents
Washington, D.C. 20231

| |
|---|
| <p align="center">CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 6, 2001.</p> <p align="right">By:  Anne M. Neiswander</p> |
|---|

Sir or Madam:

Applicants respectfully submit the following documents:


- ▶ Executed declaration or oath;
- ▶ an Assignment document for recording whereby the subject application and the invention disclosed therein is assigned to The United States of America, as represented by the Secretary, Department of Health and Human Services, Office of Technology Transfer, National Institutes of Health, 6011 Executive Blvd., Suite 325, Rockville, Maryland 20852-3804 and a Recordation Form Cover Sheet -- Patents Only (Form PTO-1595); and
- ▶ Power of Attorney by Assignee

A check in the amount of \$40.00 is enclosed herewith to cover:

\$40.00 recordation fee

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: April 6, 2001


Kamrin T. MacKnight
Registration No. 38,230

MEDLEN & CARROLL, LLP
220 Montgomery Street, Suite 2200
San Francisco, California 94104
415/705-8410



Serial No.: 09/599,920

In The Matter of the Application Of:

Date Mailed: 4/6/2001

MC File No.: NIH-05065

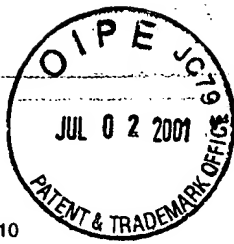
By: KTM
AMN

Samelson et al.

Due Date: _____

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

- | | | |
|---|---|--|
| <input type="checkbox"/> Design Patent Application _____ Pages | <input type="checkbox"/> Trademark Application | <input type="checkbox"/> PCT Application _____ Pages |
| <input type="checkbox"/> Plant Patent Application _____ Pages | <input type="checkbox"/> ITU Trademark Application | <input type="checkbox"/> Chapter II Demand |
| <input type="checkbox"/> Prov. Patent Application _____ Pages | <input type="checkbox"/> Statement of Use | <input type="checkbox"/> Authorization of Agent |
| <input type="checkbox"/> Utility Patent Application _____ Pages | <input type="checkbox"/> Trademark Renewal Application | <input checked="" type="checkbox"/> Transmittal Letter <input checked="" type="checkbox"/> Duplicate |
| <input type="checkbox"/> DIV _____ CONT | <input type="checkbox"/> Section 8 & 15 Affidavits | <input checked="" type="checkbox"/> Certificate of Mailing |
| <input type="checkbox"/> CIP _____ CPA | <input type="checkbox"/> Specimens | <input type="checkbox"/> Cert. of Express Mailing Label No.: |
| <input type="checkbox"/> U.S. Nat'l. Entry | <input checked="" type="checkbox"/> Declaration/Declaration Affidavits (executed) | <input checked="" type="checkbox"/> Check(s): \$ 40. -- |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Drawings: _____ Sheet(s) | <input checked="" type="checkbox"/> Deposit Account Authorization |
| <input type="checkbox"/> Form PTO-1449 and Copies of _____ | <input type="checkbox"/> Formal | |
| <input type="checkbox"/> References Contained Thereon | <input checked="" type="checkbox"/> Power of Attorney by Assignee | |
| <input type="checkbox"/> Form PTO-1533 (Not. of Missing Parts) | <input checked="" type="checkbox"/> Form PTO-1597/1595 (in duplicate) | |
| <input type="checkbox"/> Certificate Re: Sequence Listing | <input checked="" type="checkbox"/> Assignment (executed) | |
| <input type="checkbox"/> Sequence Listing in Paper Copy and on | <input type="checkbox"/> Small Entity Declaration | |
| <input type="checkbox"/> Computer Readable Diskette | <input type="checkbox"/> Amendment/Response | |
| <input type="checkbox"/> Form PTOL-85B (Issue Fee Transmittal) | <input type="checkbox"/> Extension of Time Req.: _____ Month(s) | |
| <input type="checkbox"/> Form PTO-1050 (Cert. of Correction) | <input type="checkbox"/> Notice of Appeal | |
| <input type="checkbox"/> Maintenance Fee Transmittal Form | <input type="checkbox"/> Appeal Brief | |
| <input type="checkbox"/> Reexamination Request | <input type="checkbox"/> Petition _____ | |



MEDLEN & CARROLL, LLP
220 MONTGOMERY ST., STE. 2200 PH. 415-705-8410
SAN FRANCISCO, CA 94104

BANK OF THE WEST
SAN FRANCISCO MAIN OFFICE
SAN FRANCISCO, CALIFORNIA 94104
90-78-1211

35020

PAY FORTY AND NO/100

TO THE
ORDER OF

DATE
04/06/01

AMOUNT
\$40.00

Commissioner of Patents & Trademarks

TWO SIGNATURES REQUIRED FOR AMOUNTS OVER \$500.00

Lois A. Hutton MP

⑈035020⑈ ⑆121100782⑆ 042005603⑈

MEDLEN & CARROLL, LLP

35020

04/06/01

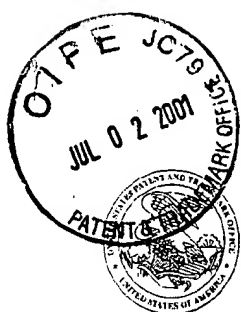
Commissioner of Patents & Trademarks

\$40.00

35020

INVOICE # 24758

Recordation of Assignment Fee



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/597,920 | 06/19/2000 | Lawrence E. Samelson | NIH-05065 |

CONFIRMATION NO. 4586

FORMALITIES LETTER



OC00000006023864

MEDLEN & CARROLL, LLP
Suite 2200
220 Montgomery Street
San Francisco, CA 94104

Date Mailed: 04/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/09/2001 EEKUBAY1 00000188 09597920

01 FC:105

130.00 0P